# PATANT COOPERATION TREAT

From the INT	ERNATIONAL	BUREAU
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## **PCT**

### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room

CP2/5C24

Arlington, VA 22202 ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/mont	th/year)
12 January 2001	(12.01.01)

International application No. PCT/SE00/00229

International filing date (day/month/year) 07 February 2000 (07.02.00) Applicant's or agent's file reference
99002 UTAP

Priority date (day/month/year)

04 June 1999 (04.06.99)

#### Applicant

ARVIDSSON, Thomas

		al Preliminary Examining Authorit	,	
	22 N	November 2000 (22.11.00)		
in a notice	effecting later election filed v	vith the International Bureau on:	·	
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The election	was			
	was not			
made before the o	expiration of 19 months from	n the priority date or, where Rule 3	2 applies, within the time	limit under
made before the c Rule 32.2(b).	expiration of 19 months from	n the priority date or, where Rule 3	2 applies, within the time	limit under
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### (19) W rld Intellectual Property Organization International Bureau



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### (43) International Publication Date 14 December 2000 (14.12.2000)

### **PCT**

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E21D 21/00

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(22) International Filing Date: 7 February 2000 (07.02.2000)

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4 June 1999 (04.06.1999)

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(SF)

(72) Inventor; and

Month Back of NOV 2001

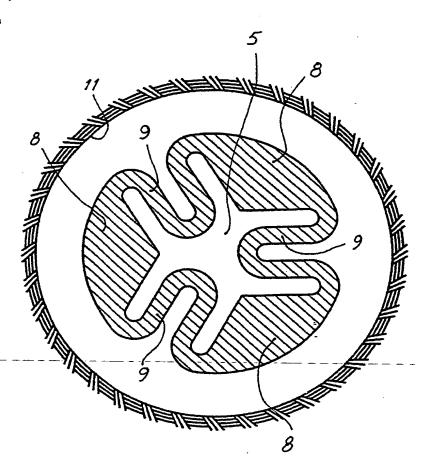
(75) Inventor/Applicant (for US only): ARVIDSSON,

Thomas [SE/SE]; Järntorgsgatan 12, S-703 61 Örebro (SE).

- (74) Agent: GRUNDFELT, Gunnar; Atlas Copco Rock Drills AB, Patents, S-701 91 Örebro (SE).
- (81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

34) Title: TUBE-FORMED ROCK BOLT



Tube-formed rock (57) Abstract: bolt with closed profile intended to be anchored in a bore hole. The bolt is anchored in the bore hole through internal pressurisation with a fluid, for instance water, so that its diameter is plastically expanded into contact with the wall of the hole. The bolt has before its expansion a cross-section whose peripheral length exceeds the circumference of the hole but a largest diameter, which is smaller than that of the hole. In order to obtain a profile being sufficiently flexible for expansion and at the same time having a sufficiently large cross-sectional area for the strength the tube has a varying wall thickness in a peripheral direction. This is achieved by making the tube-formed bolt by means of extrusion of an aluminium-based material.

WO 00/75489 A1



International application No. PCT/SE 00/00229

# A. CLASSIFICATION OF SUBJECT MATTER

IPC7: E21D 21/00
According to International Patent Classification (IPC) or to both national classification and IPC

# B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

### IPC7: E21D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

### SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

# WPI, EPODOC, PAJ

### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
<b>A</b> .	GB 2072784 A (R.W. THOM), 7 October 1981 (07.10.81), page 1, line 30 - line 39; page 2, line 51 - line 54; page 2, line 98 - line 100, figures 1,2, abstract	1-4
	- <b>-</b>	
A	US 1410258 A (J. KENNEDY), 21 March 1922 (21.03.22), page 2, line 23 - line 42, figures 1,4, 5,8	4
	<del></del>	
A	US 4284379 A (W.M. CHAIKO), 18 August 1981 (18.08.81), figure 3, abstract	4
	•••	

X Further documents are listed in the continuation of Box	C. See patent family annex.
* Special categories of cited documents:  "A" document defining the general state of the art which is not considered to be of particular relevance.	T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" eriter document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other	"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
special reason (as specified)  Of document referring to an oral disclosure, use, exhibition or other means  Pf document published prior to the international filing date but later than the priority-date-claimed.	"Y" document of particular relevances the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
Date of the actual completion of the international search	Date of mailing of the international search report
29 May 2000	<b>0</b> 6 -06- 2000
Name and mailing address of the ISA	Authorized officer
Swedish Patent Office Box 5055, S-102 42 STOCKHOLM	Anna Sandberg / MRo

Telephone No. + 46 8 782 25 00

International application No.
PCT/SE 00/00229

	Citation of document, with indication, where appropriate, of the relevant passages		evant passages	Relevant to claim No.
Category*	GB 2234568 A (INGERSOLL-RAND 6 February 1991 (06.02.91 line 12 - line 14, figure			1-4
A .	US 4474516 A (E. SCHIEFER), 2 (02.10.84), abstract	October 1984		5
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Form PCT ISA 210 (continuation of second sheet) (July 1992)

# INTERNATIONAL SEARCH REPORT Information on patent family members

International application No.

02/12/99

 PCT/SE	00/	0022	9
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Patent document cited in search report		Publication date		Patent family member(s)		Publication date
GB 2072784	A	07/10/81	DE FR ZA	3111673 2479322 8101894	Α	19/05/82 02/10/81 28/04/82
JS 1410258	A	21/03/22	US US	1410259 1410260		00/00/00 00/00/00
JS 4284379	A	18/08/81	NONE	:		
GB 2234568	A	06/02/91	AU AU CA FR US	631670 6007190 2022433 2650625 5137395	A A,C A,B	03/12/92 27/06/91 03/02/91 08/02/91 11/08/92
JS 4474516	A	02/10/84	DE	3120809	Α	16/12/82

Form PCT ISA:210 (patent family annex) (July 1992)

# PATENT COOPERATION TREATY PCT

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

International application No.   International filing date (day/month/wear)   Priority date (day/month/wear)   O4.06.1999	Applicant's or agent's file reference	FOR FURTHER ACTION	N See Notificate Preliminary	ion of Transmittal of International Examination Report (Form PCT/IPEA/416)			
International Patent Classification (IPC) or national classification and IPC7  E21D 21/00  Applicant Attlas Copco Rock Drills AB et al  1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.  2. This REPORT consists of a total of		International filing date (day)	month/year)	Priority date (day/month/year)			
International Patent Classification (PC) or national classification and IPC7		rnational application No.					
Applicant Atlas Copco Rock Drills AB et al  1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.  2. This REPORT consists of a total of 3 sheets, including this cover sheet.  This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been mended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  These annexes consist of a total of sheets.  3. This report contains indications relating to the following items:  I Basis of the report  II Priority  III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  IV Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement  VI Certain decentain supporting such statement  VI Certain defects in the international application  VIII Certain observations on the international application  Date of submission of the demand  22-11-2000 31.08.2001  Name and mailing address of the IPEA/SE Patent- och registreringsverket 71978 Ross 5055  S-102 42 STOCKHOIM PATOREO-S 72 88  Christer Bäcknert / JA A Telehone No. 08-782 25 00		PCT/SE00/00223					
Applicant Atlas Copco Rock Drills AB et al  1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.  2. This REPORT consists of a total of		r national classification and iP	C <sub>7</sub>				
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1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.  2. This REPORT consists of a total of sheets, including this cover sheet.    This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  These annexes consist of a total of sheets.  3. This report contains indications relating to the following items:  I		1s AB et al					
Authority and is transmitted to the applicant according to Article 36.  2. This REPORT consists of a total of	Atlas coped Rock Bill	10 112 00 0					
Date of submission of the demand  -22.11.2000  Name and mailing address of the IPEA/SE Patent- och registreringsverket BOX 5055 S-102 42 STOCKHOLM Facsimile No. 08-667 72 88  Date of completion of this report  31.08.2001  Authorized officer  Christer Bäcknert / JA A Telephone No. 08-782 25 00	Authority and is transmitted to the second of the consists of a total.  This report is also accompanies amended and are the see Rule 70.16 and Section. These annexes consist of a total.  This report contains indications in the contains and captal. The second of the report of the report. The second of the report of	of 3 sheets, inc. of 3 sheets, inc. anied by ANNEXES, i.e., sheet basis for this report and/or she on 607 of the Administrative Inc. of sheets.  relating to the following items: of opinion with regard to nove wention t under Article 35(2) with regard to nove wentions supporting such statem cited the international application	cluding this cover ets of the description of the de	sheet.  on, claims and/or drawings which have tifications made before this Authority the PCT).			
Date of submission of the definition of the defi	VIII Certain observations on the international application						
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Name and mailing address of the IPEA/SE  Patent- och registreringsverket Box 5055 S-102 42 STOCKHOLM Facsimile No. 08-667 72 88  Authorized officer  Christer Bäcknert / JA A Telephone No. 08-782 25 00	Date of submission of the demand	[	Date of completion	of this report			
Patent- och registreringsverket Box 5055 S-102 42 STOCKHOLM PATOREG-S Facsimile No. 08-667 72 88  Telex 17978 PATOREG-S Christer Bäcknert / JA A Telephone No. 08-782 25 00	-22.11.2000						
Box 5055 S-102 42 STOCKHOLM PATOREG-S Christer Bäcknert / JA A Facsimile No. 08-667 72 88 Telephone No. 08-782 25 00	Name and mailing address of the IPEA	702	Authorized officer				
S-102 42 STOCKHOLM PATOREG-S Christer Bäcknert / JA A  Facsimile No. 08-667 72 88 Telephone No. 08-782 25 00	Patent- och registreringsverke	et Telex					
Tracsimile 140, 00 007 72 00		S-102 42 STOCKHOLM PATOREG-S Christer Bäcknert / JA A					
Tomaria (100)	Facsimile No. 08-667 72 88 Form PCT/IPEA/409 (cover sheet) (Jan		Telephone No. 08	1-182 25 00			

Internationa	al application No.
PCT,	00/00229

I. Bas	is of the	report
1. With	regard to	the elements of the international application:*
$\boxtimes$	the inte	rnational application as originally filed
	the desc	cription:
	pages	filed with the demand
	pages	, filed with the letter of
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	the clai	, as originally filed
	pages .	, as amended (together with any statement) under article 19
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The	the lar the lar the lar the lar or 55. th regard conta filed furnis furnis The s inter been	and application was filed, unless otherwise indicated under this item.  Into were available or furnished to this Authority in the following language  Inguage of a translation furnished for the purposes of international search (under Rule 23.1(b)).  Inguage of publication of the international application (under Rule 48.3(b)).  Inguage of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/3.3).  It oany nucleotide and/or amino acid sequence disclosed in the international application, the international examination was carried out on the basis of the sequence listing:  ined in the international application in written form.  It together with the international application in computer readable form.  It is shed subsequently to this Authority in written form.  It is shed subsequently to this Authority in computer readable form.  It is statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the national application as filed has been furnished.  It is indentical to the written sequence listing has furnished.  It is indentical to the written sequence listing has furnished.  It is a translation furnished to the cancellation of:
i	This beyon this replacement this replacement 70.17,	the description, pages the claims, Nos. the drawings, sheet/fig report has been established as if (some of) the amendments had not been made, since they have been considered to go and the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2 (c)).**  ent sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to nort as "originally filed" and are annexed to this report since they do not contain amendments (Rules 70.16)

v.	Reasoned statement under Article 35(2) with regard t novelty, inventive step or industrial applicability; citations and explanations supporting such statement				
1.	Statement				
	Novelty (N)	Claims	1-5	YES	
		Claims		NO	
	Inventive step (IS)	Claims	1-5	YES	
	an only only	Claims		NO	
	Industrial applicability (IA)	Claims	1-5	YES	
	memory Physical ()	Claims		NO	

2. Citations and explanations (Rule 70.7)

The documents cited in the International Search Report represent the prior art. The claimed invention stated in claims 1-5 is not considered to be anticipated by these documents. None of the documents or any relevant combination of them reveal a tube-formed rock bolt as described by these claims.

According to the arguments stated above, the invention claimed in claims 1-5 is novel, considered to involve an inventive step and have industrial applicability.

# WO 00/75489 A1



Published:

With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 00/75489 PCT/SE00/00229

#### Tube-formed rock bolt

The present invention relates to a tube-formed rock bolt with closed profile, which is inserted in a bore hole and then expanded into contact with the wall of the bore hole through plastic deformation by means of internal pressurisation.

In a previously known rock bolt, see e.g. US-A-4 509 889, a comparatively thin-walled tube of mild steel is used, which during manufacture is deformed such that its peripheral length is larger than the circumference of the bore hole. A drawback with this formation is that the tube is relatively thin-walled in order to allow deformation against the wall of the bore hole. This gives a comparatively small cross-sectional area, which restricts the tensile strength of the rock bolt. The unsymmetrical form of the rock bolt gives as result that the contact force against the rock varies along the periphery, which limits the load carrying capacity. A further drawback is that the steel material is exerted to corrosion attack.

The present invention, which is defined in the subsequent claims, aims at achieving a tubeformed rock bolt having a substantially higher tensile strength. This is achieved primarily
because the rock bolt comprises a tube, which has a material thickness varying along the
periphery. Through this one can increase the cross-sectional area of the tube substantially at
the same time as one has parts, which are easily deformed so that the rock bolt gets a
secure grip against the wall of the bore hole. The advantageous embodiments of the
invention given in the subclaims give as results that the rock bolt obtains good corrosion
resistance, is easy to manufacture and gives a good contact force against the wall of the
bore hole around the hole.

Two embodiments of the invention are described below with reference to the accompanying drawings in which fig 1 shows a tube-formed rock bolt in perspective with one end closure removed in order to show the cross-sectional form. Fig 2 shows a cross section through the bolt according to fig 1 and schematically the surrounding bore hole in which the rock bolt is to be anchored. Fig 3 shows an alternative embodiment of the invention.



The tube-formed rock bolt shown in the drawings comprises an elongated tube 1 provided with two end closures 2,3. In the shown example the end closures are made as caps, which sealingly have been connected with the tube 1. Through this a room 5 is created between the tube 1 and the end closures 2,3. This room can be pressurised via a passage 4 at the end closure 2. The end closures can be achieved in other ways. The essential is that the ends of the tube 1 are sealed so that one through pressurisation of the room 5 can expand the tube 1 to contact against the bore hole 11. The tube 1 is, for instance, made by means of extrusion of an aluminium-based material, e.g. EN-AW 6082-T4. The tube 1 can thereby advantageously be given cross-sectional forms like those shown in figs 2 and 3. By making the profile symmetrical relative to the longitudinal sections 6,7 one obtains a relatively even distribution of the contact force between the tube 1 and the bore hole 11 after expansion of the bolt. One obtains about the same result with the bolt form shown in fig 3. This means that the bolt can be loaded more heavily without gliding in the bore hole. The bolt shown in fig 2 comprises four substantially triangularly formed parts 8, which have large cross-sectional areas and thus large stiffness and tensile strength. These parts are connected by means of U-shaped deformation parts 9. In order to increase the flexibility the tube profile has been provided with a number of circularly formed parts 12 at the deformation parts 9.

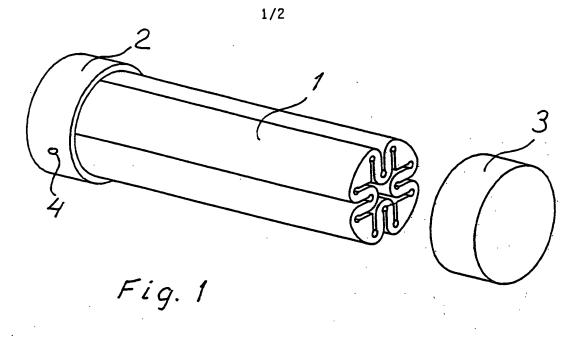
When a rock bolt is to be anchored in a bore hole the bolt is pushed into the bore hole with the end closure 3 at the inner end of the bore hole. Then pressure fluid is supplied via the passage 4 to the room 5 surrounded by the tube 1. Through this the tube 1 is expanded so that it contacts the wall of the bore hole 11 hardly. Then the room 5 is unloaded whereby the tube 1 remains firmly anchored, since the previous expansion has deformed the tube 1 plastically.

The invention can, of course, be varied within the scope of claim 1. The profile can, for instance, have more or fewer than four stiff parts. An example of this is shown in fig 3.

3

- 1. Tube-formed rock bolt comprising an elongated tube (1), which in a cross section has a peripheral length which exceeds the peripheral length of a circle having a diameter being equal to the largest transverse dimension of said tube, two end closures (2,3) on said tube and a passage (4) at one of said end closures (2,3) for pressurisation of a room (5) surrounded by said tube (1) for expansion of said tube against a bore hole, c h a r a c t e r i z e d thereby that said tube (1) has a varying material thickness in a peripheral direction.
- 2. Tube-formed rock bolt according to claim 1, c h a r a c t e r i z e d thereby that said tube (1) is manufactured by means of extrusion.
- 3. Tube-formed rock bolt according to claim 1 or 2, c h a r a c t e r i z e d thereby that said tube (1) comprises an aluminium-based material.
- 4. Tube-formed rock bolt according to any one of claims 1-3, c h a r a c t e r i z e d thereby that said tube (1) is symmetrical about two longitudinal sections (6,7) which are perpendicular relative to each other.
- 5. Tube-formed rock bolt according to any one of claims 1-3, c h a r a c t e r i z e d therby that said tube (1) comprises a number of substantially triangularly formed stiff parts (8) and intermediate U-shaped deformation parts (9).

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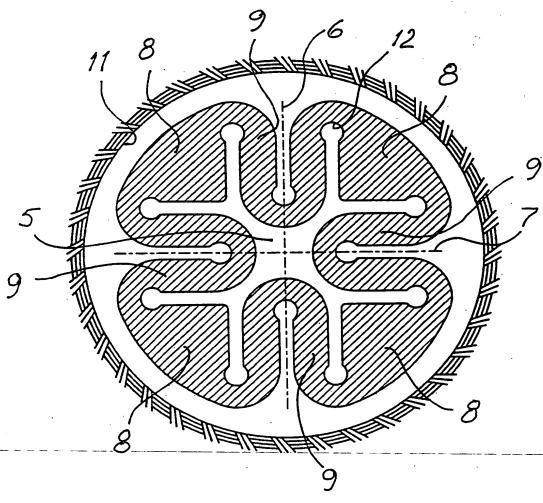


Fig. 2

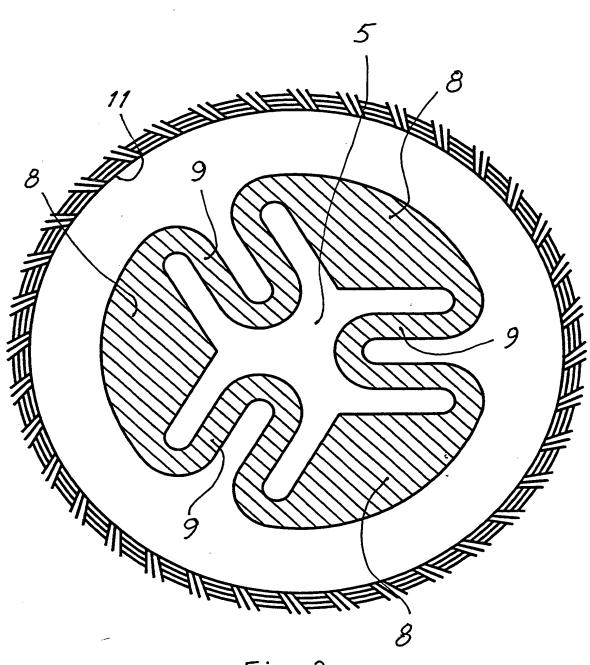


Fig. 3

International application No. PCT/SE 00/00229

# A. CLASSIFICATION OF SUBJECT MATTER

IPC7: E21D 21/00

According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

#### IPC7: E21D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

## SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

### WPI, EPODOC, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

A US 1	072784 A (R.W. THOM), 7 October 1981 (07.10.81), page 1, line 30 - line 39; page 2, line 51 - line 54; page 2, line 98 - line 100, figures 1,2, abstract  410258 A (J. KENNEDY), 21 March 1922 (21.03.22), page 2, line 23 - line 42, figures 1,4,	1-4
		4
		4
	5,8	
	284379 A (W.M. CHAIKO), 18 August 1981 (18.08.81), figure 3, abstract	4
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X	Further	documents	аге	listed	in	the	continuation	of	Box	C.
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See patent family annex.

- Special categories of cited documents:
- 'A" document defining the general state of the art which is not considered to be of particular relevance
- "E" eriter document but published on or after the international filing date
- document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- .0. document referring to an oral disclosure, use, exhibition or other means
- cocument published prior to the international filing date but later than the priority date claimed ....
- T later document published after the international filing date or priority date and not in conflict with the application but cited to understance the principle or theory underlying the invention
- "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such commination being obvious to a person skilled in the art
- Date of the actual completion of the international search

-- "&"-- document-member-of-the-same-patent-family-Date of mailing of the international search report

0 6 -06- 2000

29 May 2000

Authorized officer

Name and mailing address of the ISA Swedish Patent Office

> Anna Sandberg / MRo Telephone No. + 46 8 782 25 00

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Form PCT ISA 210 (second sheet) (July 1992)

International application No. PCT/SE 00/00229

	<u>.</u>	PC1/3E 00/00223	
C (Continu	ation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant	ant passages Relevant to claim	m No
A	GB 2234568 A (INGERSOLL-RAND COMPANY), 6 February 1991 (06.02.91), page 3, line 12 - line 14, figure 4, abstract	1-4	
A	US 4474516 A (E. SCHIEFER), 2 October 1984 (02.10.84), abstract	5	
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Information on patent family members

02/12/99

International application No.
PCT/SE 00/00229

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
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